

Straightening almost chains in $P(\omega)$

Maciej Korpalski

University of Wrocław

Joint work with Antonio Avilés and Grzegorz Plebanek

Winter School in Abstract Analysis,
February 2, 2024

Separable compact lines

Definition

Consider a closed subset $F \subseteq [0, 1]$, any set $X \subseteq F$ and define a space

$$F_X = F \times \{0\} \cup X \times \{1\}$$

equipped with the topology generated by the lexicographic order.

Theorem (Ostaszewski, 1974)

The space L is a separable compact linearly ordered space if and only if L is homeomorphic to F_X for some closed set $F \subseteq [0, 1]$ and a subset $X \subseteq F$.

Separable compact lines

Definition

Consider a closed subset $F \subseteq [0, 1]$, any set $X \subseteq F$ and define a space

$$F_X = F \times \{0\} \cup X \times \{1\}$$

equipped with the topology generated by the lexicographic order.

Theorem (Ostaszewski, 1974)

The space L is a separable compact linearly ordered space if and only if L is homeomorphic to F_X for some closed set $F \subseteq [0, 1]$ and a subset $X \subseteq F$.

Countable discrete extensions

Definition

Given a compact space K , we say that L is a countable discrete extension of K if the following are satisfied

- 1 K is a subspace of L ,
- 2 L is compact,
- 3 $L \setminus K$ is a countable infinite discrete space.

Extension operators

Definition

For two compact spaces $K \subseteq L$ by an extension operator we mean a bounded linear operator $E : C(K) \rightarrow C(L)$ such that $Ef|_K = f$ and for every $f \in C(K)$.

$\eta(K, L)$

For a compact space K and $L \in CDE(K)$ we are interested in the minimal norm of an extension operator $E : C(K) \rightarrow C(L)$. This value is denoted by $\eta(K, L)$.

Extension operators

Definition

For two compact spaces $K \subseteq L$ by an extension operator we mean a bounded linear operator $E : C(K) \rightarrow C(L)$ such that $Ef|_K = f$ and for every $f \in C(K)$.

$\eta(K, L)$

For a compact space K and $L \in CDE(K)$ we are interested in the minimal norm of an extension operator $E : C(K) \rightarrow C(L)$. This value is denoted by $\eta(K, L)$.

Old results

Theorem (Marciszewski)

There is a separable compact line K of weight ω_1 and $L \in CDE(K)$ such that $\eta(K, L) = 3$.

Theorem (K., Plebanek)

If $\kappa \geq \text{non}(\mathcal{E})$, then there is a separable compact line K of weight κ and $L \in CDE(K)$ such that $\eta(K, L) = \infty$.

\mathcal{E} is the σ -ideal generated by closed measure zero sets;
 $\text{non}(\mathcal{E}) \leq \text{non}(\mathcal{M}), \text{non}(\mathcal{N})$

Old results

Theorem (Marciszewski)

There is a separable compact line K of weight ω_1 and $L \in CDE(K)$ such that $\eta(K, L) = 3$.

Theorem (K., Plebanek)

If $\kappa \geq \text{non}(\mathcal{E})$, then there is a separable compact line K of weight κ and $L \in CDE(K)$ such that $\eta(K, L) = \infty$.

\mathcal{E} is the σ -ideal generated by closed measure zero sets;
 $\text{non}(\mathcal{E}) \leq \text{non}(\mathcal{M}), \text{non}(\mathcal{N})$

Old results 2

Theorem (K., Plebanek)

For a separable compact line K and $L \in CDE(K)$ we have $\eta(K, L) = 2k + 1$ for some $k \in \omega$ or $\eta(K, L) = \infty$.

Problem

[2, Problem 7.1.]

Is it relatively consistent that $\eta(K, L) < \infty$ for every separable compact space K of weight ω_1 and its countable discrete extension L ?

Here we will focus on the situation where K is a separable compact line.

Almost chains

Almost chain of subsets of ω indexed by the set $X \subseteq [0, 1]$ is a family \mathcal{A} such that

- $\mathcal{A} = \{A_x \subseteq \omega : x \in X\}$,
- $A_x \subseteq^* A_y$ for $x < y$.

By almost chains we will always mean almost chains of subsets of ω (or other countable set) indexed by a set $X \subseteq [0, 1]$ (which is usually fixed within a context).

Finite adjustment

We say that an almost chain \mathcal{B} is a finite adjustment of \mathcal{A} if for all $x \in X$ we have $A_x =^* B_x$.

Almost chains

Almost chain of subsets of ω indexed by the set $X \subseteq [0, 1]$ is a family \mathcal{A} such that

- $\mathcal{A} = \{A_x \subseteq \omega : x \in X\}$,
- $A_x \subseteq^* A_y$ for $x < y$.

By almost chains we will always mean almost chains of subsets of ω (or other countable set) indexed by a set $X \subseteq [0, 1]$ (which is usually fixed within a context).

Finite adjustment

We say that an almost chain \mathcal{B} is a finite adjustment of \mathcal{A} if for all $x \in X$ we have $A_x =^* B_x$.

Alternations in an almost chain

Definition

We say that \mathcal{A} is *barely alternating* if we cannot find $x_1 < x_2 < x_3 < x_4$ in X and $n \in \omega$ satisfying

$$n \in A_{x_1}, n \notin A_{x_2}, n \in A_{x_3}, n \notin A_{x_4}.$$

This property means that the almost chain \mathcal{A} is alternating at most once in each n .

When for all n we cannot find any alternations, so there are no points $x < y$ in X such that $n \in A_x, n \notin A_y$, then the almost chain \mathcal{A} is just a chain (with the regular inclusion).

Alternations in an almost chain

Definition

We say that \mathcal{A} is *barely alternating* if we cannot find $x_1 < x_2 < x_3 < x_4$ in X and $n \in \omega$ satisfying

$$n \in A_{x_1}, n \notin A_{x_2}, n \in A_{x_3}, n \notin A_{x_4}.$$

This property means that the almost chain \mathcal{A} is alternating at most once in each n .

When for all n we cannot find any alternations, so there are no points $x < y$ in X such that $n \in A_x, n \notin A_y$, then the almost chain \mathcal{A} is just a chain (with the regular inclusion).

Earlier results

Theorem (Marciszewski, restated)

There is a set $X \subseteq [0, 1]$ of cardinality ω_1 and an almost chain \mathcal{A} which cannot be finitely adjusted into a non-alternating chain.

Problem for separable compact lines, restated

Is it relatively consistent that for every set $X \subseteq [0, 1]$ of cardinality ω_1 and an almost chain \mathcal{A} on X there is a finite adjustment \mathcal{B} of \mathcal{A} which has finite amount of alternations?

The straightening forcing

Theorem (Antonio Avilés)

(Under $MA(\kappa)$): Assume that we are given

- *a set $X \subseteq [0, 1]$ of cardinality κ ,*
- *an almost chain $\mathcal{A} = \{A_x : x \in X\}$ of subsets of ω indexed by X .*

Then there is a barely alternating almost chain $\{B_x : x \in X\}$ which is a finite adjustment of \mathcal{A} , so for all $x \in X$ we have $A_x =^ B_x$.*

The straightening forcing

Theorem (Antonio Avilés)

(Under $MA(\kappa)$): Assume that we are given

- *a set $X \subseteq [0, 1]$ of cardinality κ ,*
- *an almost chain $\mathcal{A} = \{A_x : x \in X\}$ of subsets of ω indexed by X .*

Then there is a barely alternating almost chain $\{B_x : x \in X\}$ which is a finite adjustment of \mathcal{A} , so for all $x \in X$ we have $A_x =^ B_x$.*

The scheme of proof

We use the following forcing:

$$\mathbb{P} = \{(F, \mathcal{B} = \{B_x \subseteq \omega : x \in F\}) : F \subseteq X, F \text{ is finite,}$$

$$A_x =^* B_x \text{ for } x \in F,$$

$$\mathcal{B} \text{ is barely alternating}\},$$

$$(F_1, \mathcal{B}_1) \leq (F_2, \mathcal{B}_2) \iff F_1 \subseteq F_2 \wedge \mathcal{B}_1 \subseteq \mathcal{B}_2.$$

It is then enough to prove the following:

- 1 \mathbb{P} is ccc;
- 2 $\text{MA}(\kappa) \implies \text{Thesis.}$

The scheme of proof

We use the following forcing:

$$\mathbb{P} = \{(F, \mathcal{B} = \{B_x \subseteq \omega : x \in F\}) : F \subseteq X, F \text{ is finite,} \\
A_x =^* B_x \text{ for } x \in F, \\
\mathcal{B} \text{ is barely alternating}\}, \\
(F_1, \mathcal{B}_1) \leq (F_2, \mathcal{B}_2) \iff F_1 \subseteq F_2 \wedge \mathcal{B}_1 \subseteq \mathcal{B}_2.$$

It is then enough to prove the following:

- 1 \mathbb{P} is ccc;
- 2 $\text{MA}(\kappa) \implies \text{Thesis.}$

Corollary

Under $MA(\kappa)$, if K is a separable compact line of weight κ , then for each countable discrete extension L of K there is an extension operator $E : C(K) \rightarrow C(L)$ of norm at most 3.

References

- [1] A.J. Ostaszewski, *A characterization of compact, separable, ordered spaces*, J. Lond. Math. Soc. 7 (1974), 758–760.
- [2] M. Korpalski and G. Plebanek, *Countable discrete extensions of compact lines*, (2023). arXiv:2305.04565; to be published in Fundamenta Mathematicae